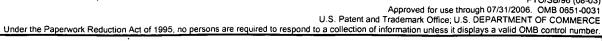
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)			09/902,883
		Filing Date	07/11/2001
		First Named Inventor	Meichun Ruan
		Art Unit	2874
		Examiner Name	Song, Sarah U.
Total Number of Pages in This Submission	4	Attorney Docket Number	12218.1100 (027.0004)
ENCLOSURES (Check all that apply)			
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addre Ferminal Disclaimer Request for Refund CD, Number of CD(s)	After Allowance communication to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): Statement Under 37 CFR 3.73(b) Return postcard
SIGNA	TURE C	F APPLICANT, ATTORN	EY, OR AGENT
Firm or Individual name Signature Date Brett A. Carlson, Reg. No.	39,828		
CE	RTIFIC	CATE OF TRANSMISSION	MAILING
sufficient postage as first class mail in an envithe date shown below.			deposited with the United States Postal Service with tents, P.O. Box 1450, Alexandria, VA 22313-1450 on
Typed or printed name Brett A. Parlson	Δ		
Signature	nh	Xh	Date 2/18/04

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PTO/SB/96 (08-03)



STATEMENT UNDER 37 CFR 3.73(b)

nde <u></u>
Applicant/Patent Owner: Ruan et al.
Application No./Patent No.: 09/902,883 Filed/Issued Date: July 11, 2001
Entitled: OPTICAL MEMS SWITCHING ARRAY WITH EMBEDDED BEAM-CONFINING CHANNELS AND METHOD OF
OPERATING SAME
Arizona State University , a non-profit organization ,
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is: 1. X the assignee of the entire right, title, and interest; or
2. an assignee of less than the entire right, title and interest. The extent (by, percentage) of its ownership interest is % in the patent application/patent identified above by virtue of either:
A. [X] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorder in the United States Patent and Trademark Office at Reel <u>014290</u> Frame <u>0079</u> , or for which a copy thereof is attached.
OR
B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:
1. From: To:
The document was recorded in the United States Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.
From: To: To: The document was recorded in the United States Patent and Trademark Office at
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
3. From: To: To: The document was recorded in the United States Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.
[] Additional documents in the chain of title are listed on a supplemental sheet.
[] Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be
recorded in the records of the USPTO. See MPEP 302.08]
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.
Date Syped or printed partie Add h
Telephone number Associate Vice President for Research
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